

AUDITING AND GOVERNANCE RISK AND COMPLIANCE | COURSE BROCHURE

Auditing Governance and Ethics

5 days | Classroom training | English

SMART HORIZON

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Course content

Why attend

Internal audit standards require auditors to assess governance and ethics, not just financial controls. This course covers auditing governance processes, board and committee effectiveness, ethics programmes, codes of conduct, conflicts of interest, speak-up arrangements and organisational culture.

Governance and culture weaknesses lie behind many major failures. You will learn how to audit these sensitive areas credibly.

Professional Problems This Course Is Designed to Solve

- Difficulty translating subject knowledge into practical workplace decisions.
- Inconsistent approaches to the activities covered in the course outline.
- Lack of a structured plan for applying and reviewing new skills.

Course methodology

Audit planning workshops, culture assessment methods, case studies and interview practice.

Course objectives

By the end of this course, participants will be able to:

- Explain internal audit's role in governance
- Audit governance structures and processes
- Evaluate ethics and compliance programmes
- Test conflicts of interest and gifts controls
- Assess speak-up arrangements
- Use culture indicators in audits

Target audience

This course is suitable for:

- Internal auditors
- Audit managers
- Ethics and compliance officers
- Governance professionals
- Audit committee members



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Target competencies

Participants will develop competencies in:
- Governance auditing
- Ethics programme evaluation
- Culture assessment
- Sensitive interviewing
- Reporting

Course outline

Day 1: Governance Auditing

09:00–10:08	Standards
10:08–11:00	Scope
11:00–11:30	**Coffee break**
11:30–11:45	Scope (continued)
11:45–12:53	Challenges
12:53–14:00	Exercise

Day 2: Governance Processes

09:00–10:08	Boards and committees
10:08–11:00	Decision processes
11:00–11:30	**Coffee break**
11:30–11:45	Decision processes (continued)
11:45–12:53	Reporting
12:53–14:00	Workshop

Day 3: Ethics Programmes

09:00–10:08	Codes and training
10:08–11:00	Conflicts of interest
11:00–11:30	**Coffee break**



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11:30–11:45	Conflicts of interest (continued)
11:45–12:53	Gifts
12:53–14:00	Exercise

Day 4: Speak-Up and Culture

09:00–10:08	Whistleblowing channels
10:08–11:00	Culture indicators
11:00–11:30	**Coffee break**
11:30–11:45	Culture indicators (continued)
11:45–12:53	Interviews
12:53–14:00	Case study

Day 5: Reporting

09:00–10:08	Sensitive findings
10:08–11:00	Board communication
11:00–11:30	**Coffee break**
11:30–11:45	Board communication (continued)
11:45–12:53	Follow-up
12:53–14:00	Action plan

The timings provided are estimates and may vary depending on the number of participants and the discussions taking place during the sessions. They are indicative only and do not constitute a binding commitment.



Course content

Dates, venues and fees

Current published course plans. Fees are per participant in EUR, excluding unconfirmed taxes.

Venue	Start date	End date	Fee (EUR)
Frankfurt Confirmed dates	23 Nov 2026	27 Nov 2026	**€2,650.–**
Online Confirmed dates	23 Nov 2026	27 Nov 2026	**€1,250.–**
Istanbul Confirmed dates	14 Dec 2026	18 Dec 2026	**€2,650.–**
Online Confirmed dates	14 Dec 2026	18 Dec 2026	**€1,250.–**
Vienna Confirmed dates	18 Jan 2027	22 Jan 2027	**€2,940.–**
Online Confirmed dates	18 Jan 2027	22 Jan 2027	**€1,250.–**
Paris Confirmed dates	1 Feb 2027	5 Feb 2027	**€2,860.–**
Online Confirmed dates	1 Feb 2027	5 Feb 2027	**€1,250.–**
Cape Town Confirmed dates	22 Feb 2027	26 Feb 2027	**€1,890.–**



Course content

Dates, venues and fees (continued)

Venue	Start date	End date	Fee (EUR)
Online Confirmed dates	22 Feb 2027	26 Feb 2027	**€1,250.–**
London Confirmed dates	15 Mar 2027	19 Mar 2027	**€2,450.–**
Online Confirmed dates	15 Mar 2027	19 Mar 2027	**€1,250.–**
Rome Confirmed dates	5 Apr 2027	9 Apr 2027	**€2,450.–**
Online Confirmed dates	5 Apr 2027	9 Apr 2027	**€1,250.–**
Dubai Confirmed dates	26 Apr 2027	30 Apr 2027	**€3,150.–**
Online Confirmed dates	26 Apr 2027	30 Apr 2027	**€1,250.–**
Vienna Confirmed dates	10 May 2027	14 May 2027	**€2,940.–**
Online Confirmed dates	10 May 2027	14 May 2027	**€1,250.–**



Course content

Dates, venues and fees (continued)

Venue	Start date	End date	Fee (EUR)
Barcelona Confirmed dates	31 May 2027	4 Jun 2027	**€2,840.-**
Online Confirmed dates	31 May 2027	4 Jun 2027	**€1,250.-**
Dubai Confirmed dates	21 Jun 2027	25 Jun 2027	**€3,150.-**
Online Confirmed dates	21 Jun 2027	25 Jun 2027	**€1,250.-**
Cape Town Confirmed dates	12 Jul 2027	16 Jul 2027	**€1,890.-**
Online Confirmed dates	12 Jul 2027	16 Jul 2027	**€1,250.-**
Kuala Lumpur Confirmed dates	2 Aug 2027	6 Aug 2027	**€1,450.-**
Online Confirmed dates	2 Aug 2027	6 Aug 2027	**€1,250.-**
Barcelona Confirmed dates	16 Aug 2027	20 Aug 2027	**€2,840.-**



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Dates, venues and fees (continued)

Venue	Start date	End date	Fee (EUR)
Online Confirmed dates	16 Aug 2027	20 Aug 2027	**€1,250.-**
Rome Confirmed dates	6 Sept 2027	10 Sept 2027	**€2,450.-**
Online Confirmed dates	6 Sept 2027	10 Sept 2027	**€1,250.-**
Amsterdam Confirmed dates	27 Sept 2027	1 Oct 2027	**€2,540.-**
Online Confirmed dates	27 Sept 2027	1 Oct 2027	**€1,250.-**
Dubai Confirmed dates	18 Oct 2027	22 Oct 2027	**€3,150.-**
Online Confirmed dates	18 Oct 2027	22 Oct 2027	**€1,250.-**
London Confirmed dates	8 Nov 2027	12 Nov 2027	**€2,450.-**
Online Confirmed dates	8 Nov 2027	12 Nov 2027	**€1,250.-**



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Dates, venues and fees (continued)

Venue	Start date	End date	Fee (EUR)
Cape Town Confirmed dates	22 Nov 2027	26 Nov 2027	**€1,890.-**
Online Confirmed dates	22 Nov 2027	26 Nov 2027	**€1,250.-**
Rome Confirmed dates	13 Dec 2027	17 Dec 2027	**€2,450.-**
Online Confirmed dates	13 Dec 2027	17 Dec 2027	**€1,250.-**

Plan your next step

Dates, availability, exact venue, taxes, inclusions and payment terms require written confirmation. This brochure is not a quotation, registration, booking confirmation or visa invitation. Participants receive a Smart Horizon certificate of attendance; programmes are not externally accredited and do not award a professional qualification or designation. Confirm arrangements before booking travel.

For group or in-house training, contact our learning team to discuss your objectives and delivery arrangements.

Contact Smart Horizon

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View the latest course details online