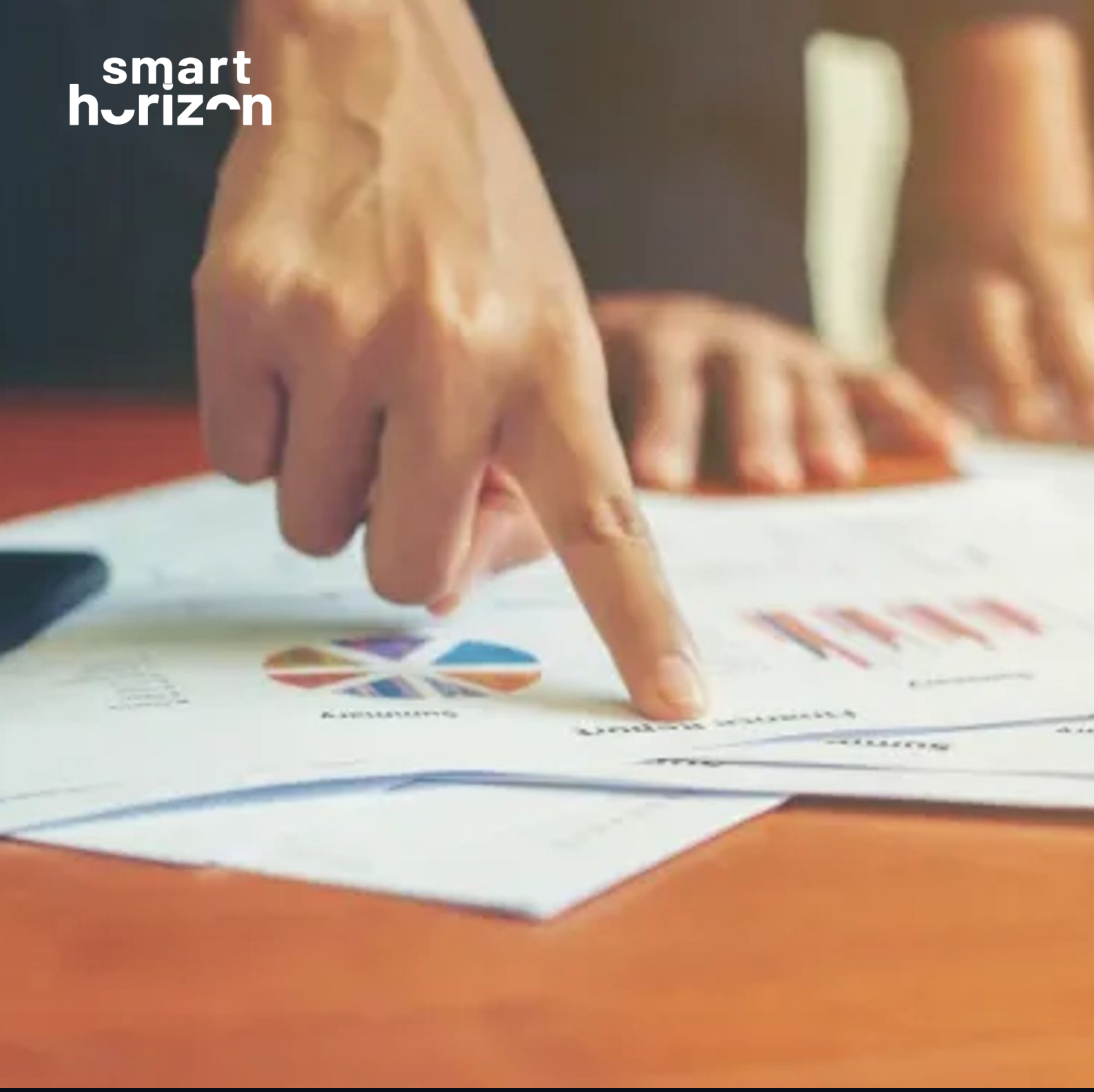


AUDITING AND GOVERNANCE RISK AND COMPLIANCE | COURSE BROCHURE

Certificate in Modern Internal Audit Practices (CMIAP)

5 days | Classroom training | English

SMART HORIZON

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Amsterdam
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Course content

Why attend

The Certificate in Modern Internal Audit Practices (CMIAP) equips professionals with advanced skills in internal auditing. Participants will explore contemporary methodologies, risk assessment techniques, and compliance frameworks, enhancing their ability to deliver value and assurance in their organisations.

Internal auditors face increasing pressure to provide insights that drive organisational performance while ensuring compliance with regulations. This course addresses the challenges of adapting to evolving risk landscapes and stakeholder expectations. Participants will learn to identify and mitigate risks effectively, ensuring their audits contribute to strategic objectives. Skills such as developing audit plans, utilising data analytics, and communicating findings will be emphasised, enabling auditors to enhance their role as trusted advisors within their organisations. The ability to navigate complex regulatory environments and apply best practices in internal auditing is crucial for maintaining organisational integrity and fostering stakeholder confidence.
The practical skills developed during this course will empower participants to conduct thorough audits, assess internal controls, and communicate findings effectively. Through real-world scenarios and case studies, attendees will practice critical decision-making and analytical skills, enabling them to add value to their organisations and enhance their professional credibility. Participants will also explore the integration of technology in auditing processes, allowing them to leverage data analytics and automation. This course prepares auditors to navigate complexities in compliance and risk management, ultimately fostering a culture of continuous improvement and accountability. By mastering these competencies, participants will be better equipped to influence organisational strategy and support sustainable growth.

Professional Problems This Course Is Designed to Solve

- Difficulty translating subject knowledge into practical workplace decisions.
- Inconsistent approaches to the activities covered in the course outline.
- Lack of a structured plan for applying and reviewing new skills.

Course methodology

This course employs a blend of interactive workshops, case studies, and simulations to facilitate experiential learning. Participants will engage in group discussions, role-playing exercises, and practical assessments, allowing them to apply theoretical concepts in realistic scenarios. The outputs include audit reports, risk assessments, and compliance checklists, demonstrating their ability to implement modern internal audit practices effectively. Each session is designed to encourage collaboration and critical thinking, ensuring that participants not only understand the material but can also apply it in their professional environments. This hands-on approach reinforces learning and prepares participants for real-world auditing challenges, fostering a deeper understanding of the internal audit function and its strategic importance.



Course content

Course objectives

By the end of this course, participants will be able to:
• Analyse the principles of modern internal auditing and their application in various contexts.
• Assess organisational risks using contemporary risk assessment frameworks and tools.
• Design effective internal audit plans that align with organisational objectives and risk profiles.
• Evaluate internal controls and compliance measures to identify areas for improvement.
• Construct comprehensive audit reports that communicate findings and recommendations clearly.
• Justify the use of technology in enhancing internal audit processes and data analysis.
• Prioritise audit activities based on risk assessments and stakeholder needs.

Target audience

This course is suitable for:
• Internal auditors seeking to enhance their skills and knowledge in modern practices.
• Compliance officers responsible for ensuring adherence to regulatory standards.
• Risk management professionals involved in assessing and mitigating organisational risks.
• Finance professionals interested in understanding the audit process and its implications.

Target competencies

Participants will develop competencies in:
• Conducting risk assessments using modern frameworks.
• Developing and executing internal audit plans effectively.
• Communicating audit findings to stakeholders clearly and persuasively.
• Utilising technology and data analytics in audit processes.
• Evaluating compliance with relevant regulations and standards.
• Implementing best practices in internal control assessments.

Course outline

Day 1: Foundations of Modern Internal Auditing

Applied activity: Participants will review a fictional organisation's internal audit charter and identify gaps in alignment with modern practices. They will discuss how to enhance the charter to better meet stakeholder expectations and ensure compliance with auditing standards, focusing on ethical considerations and governance.
Output: A revised internal audit charter that reflects modern auditing principles and stakeholder needs.

09:00–09:54	Overview of internal auditing standards and frameworks, including ISO 19011



Course content

09:54–10:48	Key principles of risk-based auditing and their importance in practice
10:48–11:00	Understanding the role of internal audit in corporate governance and strategy
11:00–11:30	Coffee break
11:30–12:12	Understanding the role of internal audit in corporate governance and strategy (continued)
12:12–13:06	Stakeholder expectations and effective communication strategies for auditors
13:06–14:00	Ethics and professionalism in auditing practices and decision-making processes

Day 2: Risk Assessment Methodologies

Applied activity: In groups, participants will analyse a case study of an organisation facing multiple risks. They will develop a risk assessment matrix and propose mitigation strategies for the top three identified risks, considering their impact on the audit plan and organisational objectives.
Output: A risk assessment matrix and a report outlining proposed mitigation strategies for the organisation.

09:00–09:54	Identifying and categorising organisational risks using risk matrices and heat maps
09:54–10:48	Applying risk assessment frameworks (e.g., COSO, ISO 31000) effectively in audits
10:48–11:00	Techniques for risk prioritisation and developing mitigation strategies
11:00–11:30	Coffee break
11:30–12:12	Techniques for risk prioritisation and developing mitigation strategies (continued)
12:12–13:06	Developing risk assessment matrices for audit planning and execution
13:06–14:00	Integrating risk assessment findings into the internal audit process for better outcomes

Day 3: Internal Audit Planning and Execution

Applied activity: Participants will create an internal audit plan for a fictional organisation, including objectives, scope, and resource allocation. They will simulate the execution of one audit activity based on their plan, focusing on evidence collection and analysis to support their findings.
Output: An internal audit plan document and a summary of findings from the simulated audit activity.

09:00–09:54	Developing an internal audit plan aligned with strategic objectives and risk profiles
09:54–10:48	Setting clear audit objectives and defining the scope of audits based on risk assessments
10:48–11:00	Utilising data analytics in audit planning and execution for enhanced insights
11:00–11:30	Coffee break



Course content

11:30–12:12	Utilising data analytics in audit planning and execution for enhanced insights (continued)
12:12–13:06	Conducting fieldwork and gathering evidence systematically during audits
13:06–14:00	Documenting audit findings and recommendations for stakeholders effectively

Day 4: Evaluating Internal Controls and Compliance

Applied activity: Participants will evaluate the internal controls of a fictional organisation based on provided documentation. They will identify weaknesses and suggest improvements to enhance compliance and effectiveness, preparing to communicate these findings to management in a clear and actionable manner.
Output: An evaluation report detailing control weaknesses and recommended improvements for compliance.

09:00–09:54	Understanding internal control frameworks (e.g., COSO) and their application in audits
09:54–10:48	Assessing the effectiveness of internal controls through testing and evaluation
10:48–11:00	Compliance requirements and their implications for audits in various sectors
11:00–11:30	**Coffee break**
11:30–12:12	Compliance requirements and their implications for audits in various sectors (continued)
12:12–13:06	Techniques for testing controls and compliance measures effectively
13:06–14:00	Reporting on control deficiencies and compliance issues to management and stakeholders

Day 5: Integrating Technology in Internal Auditing

Applied activity: In teams, participants will explore a case where technology failed to mitigate a risk. They will analyse the situation and propose how technology could have been better integrated into the audit process, focusing on lessons learned and recommendations for future audits.
Output: A presentation outlining the analysis and proposed technology integration strategies for improved auditing.

09:00–09:54	Emerging technologies in internal auditing (e.g., AI, blockchain) and their relevance to auditors
09:54–10:48	Data analytics techniques for auditors to enhance insights and decision-making
10:48–11:00	Automating audit processes and workflows for efficiency and accuracy
11:00–11:30	**Coffee break**
11:30–12:12	Automating audit processes and workflows for efficiency and accuracy (continued)
12:12–13:06	Cybersecurity considerations in audits and risk assessments to protect data integrity
13:06–14:00	Future trends in internal auditing and adapting to technological changes



Course content

The timings provided are estimates and may vary depending on the number of participants and the discussions taking place during the sessions. They are indicative only and do not constitute a binding commitment.

Dates, venues and fees

Current published course plans. Fees are per participant in EUR, excluding unconfirmed taxes.

Venue	Start date	End date	Fee (EUR)
Istanbul Confirmed dates	9 Nov 2026	13 Nov 2026	**€2,650.-**
Online Confirmed dates	9 Nov 2026	13 Nov 2026	**€1,250.-**
Amsterdam Confirmed dates	23 Nov 2026	27 Nov 2026	**€2,540.-**
Online Confirmed dates	23 Nov 2026	27 Nov 2026	**€1,250.-**
Rome Confirmed dates	30 Nov 2026	4 Dec 2026	**€2,450.-**
Online Confirmed dates	30 Nov 2026	4 Dec 2026	**€1,250.-**
Singapore Confirmed dates	7 Dec 2026	11 Dec 2026	**€2,820.-**
Online Confirmed dates	7 Dec 2026	11 Dec 2026	**€1,250.-**



Course content

Dates, venues and fees (continued)

Venue	Start date	End date	Fee (EUR)
London Confirmed dates	14 Dec 2026	18 Dec 2026	**€2,450.-**
Online Confirmed dates	14 Dec 2026	18 Dec 2026	**€1,250.-**
Dubai Confirmed dates	11 Jan 2027	15 Jan 2027	**€3,150.-**
Online Confirmed dates	11 Jan 2027	15 Jan 2027	**€1,250.-**
Frankfurt Confirmed dates	25 Jan 2027	29 Jan 2027	**€2,650.-**
Online Confirmed dates	25 Jan 2027	29 Jan 2027	**€1,250.-**
Kuala Lumpur Confirmed dates	8 Feb 2027	12 Feb 2027	**€1,450.-**
Online Confirmed dates	8 Feb 2027	12 Feb 2027	**€1,250.-**
Paris Confirmed dates	22 Feb 2027	26 Feb 2027	**€2,860.-**



Course content

Dates, venues and fees (continued)

Venue	Start date	End date	Fee (EUR)
Online Confirmed dates	22 Feb 2027	26 Feb 2027	**€1,250.-**
Cape Town Confirmed dates	1 Mar 2027	5 Mar 2027	**€1,890.-**
Online Confirmed dates	1 Mar 2027	5 Mar 2027	**€1,250.-**
Istanbul Confirmed dates	8 Mar 2027	12 Mar 2027	**€2,650.-**
Online Confirmed dates	8 Mar 2027	12 Mar 2027	**€1,250.-**
Amsterdam Confirmed dates	22 Mar 2027	26 Mar 2027	**€2,540.-**
Online Confirmed dates	22 Mar 2027	26 Mar 2027	**€1,250.-**
Singapore Confirmed dates	12 Apr 2027	16 Apr 2027	**€2,820.-**
Online Confirmed dates	12 Apr 2027	16 Apr 2027	**€1,250.-**



Course content

Dates, venues and fees (continued)

Venue	Start date	End date	Fee (EUR)
Rome Confirmed dates	19 Apr 2027	23 Apr 2027	**€2,450.-**
Online Confirmed dates	19 Apr 2027	23 Apr 2027	**€1,250.-**
London Confirmed dates	26 Apr 2027	30 Apr 2027	**€2,450.-**
Online Confirmed dates	26 Apr 2027	30 Apr 2027	**€1,250.-**
Dubai Confirmed dates	10 May 2027	14 May 2027	**€3,150.-**
Online Confirmed dates	10 May 2027	14 May 2027	**€1,250.-**
Frankfurt Confirmed dates	24 May 2027	28 May 2027	**€2,650.-**
Online Confirmed dates	24 May 2027	28 May 2027	**€1,250.-**
Kuala Lumpur Confirmed dates	14 Jun 2027	18 Jun 2027	**€1,450.-**



Course content

Dates, venues and fees (continued)

Venue	Start date	End date	Fee (EUR)
Online Confirmed dates	14 Jun 2027	18 Jun 2027	**€1,250.–**
Paris Confirmed dates	21 Jun 2027	25 Jun 2027	**€2,860.–**
Online Confirmed dates	21 Jun 2027	25 Jun 2027	**€1,250.–**
Barcelona Confirmed dates	28 Jun 2027	2 Jul 2027	**€2,840.–**
Online Confirmed dates	28 Jun 2027	2 Jul 2027	**€1,250.–**
Istanbul Confirmed dates	12 Jul 2027	16 Jul 2027	**€2,650.–**
Online Confirmed dates	12 Jul 2027	16 Jul 2027	**€1,250.–**
Amsterdam Confirmed dates	26 Jul 2027	30 Jul 2027	**€2,540.–**
Online Confirmed dates	26 Jul 2027	30 Jul 2027	**€1,250.–**



Course content

Dates, venues and fees (continued)

Venue	Start date	End date	Fee (EUR)
Singapore Confirmed dates	9 Aug 2027	13 Aug 2027	**€2,820.-**
Online Confirmed dates	9 Aug 2027	13 Aug 2027	**€1,250.-**
London Confirmed dates	23 Aug 2027	27 Aug 2027	**€2,450.-**
Online Confirmed dates	23 Aug 2027	27 Aug 2027	**€1,250.-**
Cape Town Confirmed dates	30 Aug 2027	3 Sept 2027	**€1,890.-**
Online Confirmed dates	30 Aug 2027	3 Sept 2027	**€1,250.-**
Dubai Confirmed dates	13 Sept 2027	17 Sept 2027	**€3,150.-**
Online Confirmed dates	13 Sept 2027	17 Sept 2027	**€1,250.-**
Frankfurt Confirmed dates	20 Sept 2027	24 Sept 2027	**€2,650.-**



Course content

Dates, venues and fees (continued)

Venue	Start date	End date	Fee (EUR)
Online Confirmed dates	20 Sept 2027	24 Sept 2027	**€1,250.-**
Kuala Lumpur Confirmed dates	11 Oct 2027	15 Oct 2027	**€1,450.-**
Online Confirmed dates	11 Oct 2027	15 Oct 2027	**€1,250.-**
Vienna Confirmed dates	18 Oct 2027	22 Oct 2027	**€2,940.-**
Online Confirmed dates	18 Oct 2027	22 Oct 2027	**€1,250.-**
Paris Confirmed dates	25 Oct 2027	29 Oct 2027	**€2,860.-**
Online Confirmed dates	25 Oct 2027	29 Oct 2027	**€1,250.-**
Istanbul Confirmed dates	8 Nov 2027	12 Nov 2027	**€2,650.-**
Online Confirmed dates	8 Nov 2027	12 Nov 2027	**€1,250.-**



Course content

Dates, venues and fees (continued)

Venue	Start date	End date	Fee (EUR)
Amsterdam Confirmed dates	22 Nov 2027	26 Nov 2027	**€2,540.-**
Online Confirmed dates	22 Nov 2027	26 Nov 2027	**€1,250.-**
Singapore Confirmed dates	6 Dec 2027	10 Dec 2027	**€2,820.-**
Online Confirmed dates	6 Dec 2027	10 Dec 2027	**€1,250.-**
London Confirmed dates	13 Dec 2027	17 Dec 2027	**€2,450.-**
Online Confirmed dates	13 Dec 2027	17 Dec 2027	**€1,250.-**



Course content

Plan your next step

Dates, availability, exact venue, taxes, inclusions and payment terms require written confirmation. This brochure is not a quotation, registration, booking confirmation or visa invitation. Participants receive a Smart Horizon certificate of attendance; programmes are not externally accredited and do not award a professional qualification or designation. Confirm arrangements before booking travel.

For group or in-house training, contact our learning team to discuss your objectives and delivery arrangements.

Contact Smart Horizon

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View the latest course details online