

GOVERNANCE & COMPLIANCE | COURSE BROCHURE

Smart Contracts Governance, Regulation and Compliance

5 days | Classroom training | English

SMART HORIZON

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Course content

Why attend

This course provides a comprehensive understanding of smart contracts, focusing on governance, regulatory frameworks, and compliance challenges. Participants will explore practical applications, legal considerations, and best practices for implementing smart contracts in various industries, enhancing their ability to navigate complex regulatory landscapes.

Smart contracts present unique governance and compliance challenges that can significantly impact business operations, particularly in sectors such as finance, healthcare, and supply chain management. Understanding these issues is crucial for professionals tasked with implementing or overseeing smart contract systems. This course addresses the complexities of regulatory compliance, ensuring participants can effectively manage risks and leverage opportunities in their organisations. By engaging with real-world scenarios, participants will develop the skills necessary to analyse regulatory requirements and design governance frameworks that align with organisational objectives, ultimately enhancing their ability to drive innovation while maintaining compliance.
Participants will engage in practical decision-making scenarios that reflect real-world challenges in smart contract governance. By developing skills in regulatory analysis and compliance frameworks, attendees will be equipped to create robust governance structures that align with legal standards. The course will cover essential tasks such as conducting compliance audits, assessing risk factors, and facilitating stakeholder engagement. These capabilities are vital for ensuring that smart contracts operate within legal boundaries and meet industry standards. By the end of the course, participants will be able to implement effective governance strategies that not only mitigate risks but also enhance operational integrity.

Professional Problems This Course Is Designed to Solve

• Difficulty translating subject knowledge into practical workplace decisions.
• Inconsistent approaches to the activities covered in the course outline.
• Lack of a structured plan for applying and reviewing new skills.

Course methodology

The course employs a blend of case studies, interactive workshops, and scenario-based simulations to build practical capabilities. Participants will analyse real-world governance frameworks and regulatory compliance scenarios, engaging in group discussions and role-playing exercises. Each day includes hands-on activities where participants will apply their learning to develop governance frameworks, compliance checklists, and risk assessment reports. The outputs will demonstrate their understanding and application of smart contract principles, ensuring they can effectively navigate the complexities of governance and compliance in their professional roles. This approach fosters collaborative learning and encourages participants to share insights from their own experiences, enhancing the overall learning experience.



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Course objectives

By the end of this course, participants will be able to:
• Analyse the legal frameworks governing smart contracts and their implications for compliance.
• Assess the risks associated with smart contract implementation in various sectors.
• Design a governance framework tailored for smart contracts within a specific industry.
• Evaluate compliance requirements for smart contracts in different jurisdictions.
• Construct a risk assessment report identifying potential regulatory challenges.
• Justify the selection of specific compliance tools and methods for smart contract oversight.

Target audience

This course is suitable for:
• Compliance Officers: Responsible for ensuring adherence to regulations in smart contract applications.
• Legal Advisors: Focused on the legal implications and governance of smart contracts.
• Project Managers: Overseeing smart contract implementation and compliance processes.
• IT Security Professionals: Ensuring the security and integrity of smart contract systems.

Target competencies

Participants will develop competencies in:
• Evaluate regulatory frameworks for smart contracts.
• Develop governance structures for smart contract management.
• Identify compliance risks in smart contract deployment.
• Implement tools for monitoring smart contract compliance.
• Conduct risk assessments for smart contract projects.
• Facilitate stakeholder engagement in smart contract governance.

Course outline

Day 1: Understanding Smart Contracts and Their Governance

Applied activity: Participants will analyse a fictional smart contract scenario involving multiple stakeholders, identifying governance challenges and proposing solutions based on best practices for stakeholder engagement and compliance. This will involve group discussions and presentations to share insights and recommendations.
Output: A governance analysis report outlining identified challenges and proposed solutions.

09:00–09:54	Define smart contracts and their operational mechanics within blockchain technology.
09:54–10:48	Explore the role of blockchain technology in automating contract execution and enforcement.



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10:48–11:00	Identify key stakeholders in smart contract governance, including developers and regulators.
11:00–11:30	**Coffee break**
11:30–12:12	Identify key stakeholders in smart contract governance, including developers and regulators. (continued)
12:12–13:06	Discuss the importance of transparency and accountability in smart contract operations.
13:06–14:00	Examine case studies of smart contract failures and successes to identify best practices.

Day 2: Regulatory Frameworks for Smart Contracts

Applied activity: Working in groups, participants will research a specific jurisdiction's regulations on smart contracts, focusing on compliance requirements and potential pitfalls. Each group will prepare a brief report summarising their findings, which will be shared with the class to facilitate discussion.
Output: A presentation summarising regulatory findings and compliance implications for smart contracts.

09:00–09:54	Review global regulatory approaches to smart contracts, including the EU and US frameworks.
09:54–10:48	Identify key legislation affecting smart contract compliance, such as the Electronic Signatures Act.
10:48–11:00	Examine the role of regulatory bodies in smart contract oversight and enforcement.
11:00–11:30	**Coffee break**
11:30–12:12	Examine the role of regulatory bodies in smart contract oversight and enforcement. (continued)
12:12–13:06	Discuss the implications of GDPR and data protection laws on smart contracts and personal data handling.
13:06–14:00	Evaluate the impact of financial regulations on smart contract applications in fintech.

Day 3: Compliance Challenges in Smart Contract Implementation

Applied activity: Participants will conduct a mock compliance audit of a fictional smart contract, identifying potential compliance gaps and recommending corrective actions based on regulatory standards and best practices. This will involve collaborative discussions to formulate audit strategies and findings.
Output: A compliance audit report detailing findings and recommendations for improvement.

09:00–09:54	Identify common compliance challenges in smart contract projects, such as jurisdictional issues and data privacy.
09:54–10:48	Explore the role of audits in ensuring compliance and maintaining stakeholder trust.
10:48–11:00	Discuss the importance of stakeholder engagement in compliance processes and governance.



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11:00–11:30	**Coffee break**
11:30–12:12	Discuss the importance of stakeholder engagement in compliance processes and governance. (continued)
12:12–13:06	Examine case studies of compliance failures in smart contracts and lessons learned.
13:06–14:00	Develop strategies for overcoming compliance challenges through best practices and innovative solutions.

Day 4: Designing Governance Frameworks for Smart Contracts

Applied activity: Participants will design a governance framework for a fictional smart contract application, incorporating compliance and regulatory considerations. They will present their framework to the group for feedback, focusing on the practicality and effectiveness of their proposed governance policies.
Output: A governance framework document outlining policies and procedures tailored to the smart contract application.

09:00–09:54	Outline the components of an effective governance framework for smart contracts, including roles and responsibilities.
09:54–10:48	Discuss the role of technology in governance solutions, such as automated compliance checks.
10:48–11:00	Explore stakeholder roles in governance frameworks and their impact on decision-making processes.
11:00–11:30	**Coffee break**
11:30–12:12	Explore stakeholder roles in governance frameworks and their impact on decision-making processes. (continued)
12:12–13:06	Evaluate governance frameworks from various industries, identifying transferable practices.
13:06–14:00	Develop governance policies that align with regulatory requirements and organisational objectives.

Day 5: Integrated Application of Governance and Compliance

Applied activity: In teams, participants will create a comprehensive compliance strategy for a proposed smart contract project, integrating governance and regulatory considerations. They will present their strategy to a panel of stakeholders, justifying their recommendations based on regulatory analysis and best practices.
Output: A detailed compliance strategy document ready for presentation to stakeholders.

09:00–09:54	Synthesize knowledge of governance and compliance in smart contracts through case study analysis.



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09:54–10:48	Discuss the future of smart contracts in regulatory environments and potential changes in legislation.
10:48–11:00	Explore emerging trends in smart contract technology and governance, including decentralised governance models.
11:00–11:30	**Coffee break**
11:30–12:12	Explore emerging trends in smart contract technology and governance, including decentralised governance models. (continued)
12:12–13:06	Evaluate the effectiveness of existing governance frameworks and propose enhancements.
13:06–14:00	Develop a comprehensive compliance strategy for smart contracts that addresses current and future regulatory challenges.

The timings provided are estimates and may vary depending on the number of participants and the discussions taking place during the sessions. They are indicative only and do not constitute a binding commitment.

Dates, venues and fees

Current published course plans. Fees are per participant in EUR, excluding unconfirmed taxes.

Venue	Start date	End date	Fee (EUR)
Cape Town Confirmed dates	9 Nov 2026	13 Nov 2026	**€1,890.-**
Online Confirmed dates	9 Nov 2026	13 Nov 2026	**€1,250.-**
Kuala Lumpur Confirmed dates	16 Nov 2026	20 Nov 2026	**€1,450.-**
Online Confirmed dates	16 Nov 2026	20 Nov 2026	**€1,250.-**



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Dates, venues and fees (continued)

Venue	Start date	End date	Fee (EUR)
Paris Confirmed dates	7 Dec 2026	11 Dec 2026	**€2,860.-**
Online Confirmed dates	7 Dec 2026	11 Dec 2026	**€1,250.-**
Istanbul Confirmed dates	14 Dec 2026	18 Dec 2026	**€2,650.-**
Online Confirmed dates	14 Dec 2026	18 Dec 2026	**€1,250.-**
Amsterdam Confirmed dates	4 Jan 2027	8 Jan 2027	**€2,540.-**
Online Confirmed dates	4 Jan 2027	8 Jan 2027	**€1,250.-**
Singapore Confirmed dates	18 Jan 2027	22 Jan 2027	**€2,820.-**
Online Confirmed dates	18 Jan 2027	22 Jan 2027	**€1,250.-**
London Confirmed dates	1 Feb 2027	5 Feb 2027	**€2,450.-**



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Dates, venues and fees (continued)

Venue	Start date	End date	Fee (EUR)
Online Confirmed dates	1 Feb 2027	5 Feb 2027	**€1,250.–**
Rome Confirmed dates	8 Feb 2027	12 Feb 2027	**€2,450.–**
Online Confirmed dates	8 Feb 2027	12 Feb 2027	**€1,250.–**
Dubai Confirmed dates	15 Feb 2027	19 Feb 2027	**€3,150.–**
Online Confirmed dates	15 Feb 2027	19 Feb 2027	**€1,250.–**
Frankfurt Confirmed dates	1 Mar 2027	5 Mar 2027	**€2,650.–**
Online Confirmed dates	1 Mar 2027	5 Mar 2027	**€1,250.–**
Kuala Lumpur Confirmed dates	15 Mar 2027	19 Mar 2027	**€1,450.–**
Online Confirmed dates	15 Mar 2027	19 Mar 2027	**€1,250.–**



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Dates, venues and fees (continued)

Venue	Start date	End date	Fee (EUR)
Paris Confirmed dates	5 Apr 2027	9 Apr 2027	**€2,860.-**
Online Confirmed dates	5 Apr 2027	9 Apr 2027	**€1,250.-**
Cape Town Confirmed dates	12 Apr 2027	16 Apr 2027	**€1,890.-**
Online Confirmed dates	12 Apr 2027	16 Apr 2027	**€1,250.-**
Istanbul Confirmed dates	19 Apr 2027	23 Apr 2027	**€2,650.-**
Online Confirmed dates	19 Apr 2027	23 Apr 2027	**€1,250.-**
Amsterdam Confirmed dates	3 May 2027	7 May 2027	**€2,540.-**
Online Confirmed dates	3 May 2027	7 May 2027	**€1,250.-**
Singapore Confirmed dates	17 May 2027	21 May 2027	**€2,820.-**



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Dates, venues and fees (continued)

Venue	Start date	End date	Fee (EUR)
Online Confirmed dates	17 May 2027	21 May 2027	**€1,250.–**
London Confirmed dates	7 Jun 2027	11 Jun 2027	**€2,450.–**
Online Confirmed dates	7 Jun 2027	11 Jun 2027	**€1,250.–**
Vienna Confirmed dates	14 Jun 2027	18 Jun 2027	**€2,940.–**
Online Confirmed dates	14 Jun 2027	18 Jun 2027	**€1,250.–**
Dubai Confirmed dates	21 Jun 2027	25 Jun 2027	**€3,150.–**
Online Confirmed dates	21 Jun 2027	25 Jun 2027	**€1,250.–**
Frankfurt Confirmed dates	5 Jul 2027	9 Jul 2027	**€2,650.–**
Online Confirmed dates	5 Jul 2027	9 Jul 2027	**€1,250.–**



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Dates, venues and fees (continued)

Venue	Start date	End date	Fee (EUR)
Kuala Lumpur Confirmed dates	19 Jul 2027	23 Jul 2027	**€1,450.-**
Online Confirmed dates	19 Jul 2027	23 Jul 2027	**€1,250.-**
Paris Confirmed dates	2 Aug 2027	6 Aug 2027	**€2,860.-**
Online Confirmed dates	2 Aug 2027	6 Aug 2027	**€1,250.-**
Istanbul Confirmed dates	16 Aug 2027	20 Aug 2027	**€2,650.-**
Online Confirmed dates	16 Aug 2027	20 Aug 2027	**€1,250.-**
Barcelona Confirmed dates	23 Aug 2027	27 Aug 2027	**€2,840.-**
Online Confirmed dates	23 Aug 2027	27 Aug 2027	**€1,250.-**
Amsterdam Confirmed dates	6 Sept 2027	10 Sept 2027	**€2,540.-**



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Dates, venues and fees (continued)

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Online Confirmed dates	6 Sept 2027	10 Sept 2027	**€1,250.-**
Singapore Confirmed dates	20 Sept 2027	24 Sept 2027	**€2,820.-**
Online Confirmed dates	20 Sept 2027	24 Sept 2027	**€1,250.-**
London Confirmed dates	4 Oct 2027	8 Oct 2027	**€2,450.-**
Online Confirmed dates	4 Oct 2027	8 Oct 2027	**€1,250.-**
Dubai Confirmed dates	18 Oct 2027	22 Oct 2027	**€3,150.-**
Online Confirmed dates	18 Oct 2027	22 Oct 2027	**€1,250.-**
Rome Confirmed dates	25 Oct 2027	29 Oct 2027	**€2,450.-**
Online Confirmed dates	25 Oct 2027	29 Oct 2027	**€1,250.-**



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Dates, venues and fees (continued)

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Frankfurt Confirmed dates	1 Nov 2027	5 Nov 2027	**€2,650.–**
Online Confirmed dates	1 Nov 2027	5 Nov 2027	**€1,250.–**
Kuala Lumpur Confirmed dates	15 Nov 2027	19 Nov 2027	**€1,450.–**
Online Confirmed dates	15 Nov 2027	19 Nov 2027	**€1,250.–**
Paris Confirmed dates	6 Dec 2027	10 Dec 2027	**€2,860.–**
Online Confirmed dates	6 Dec 2027	10 Dec 2027	**€1,250.–**
Istanbul Confirmed dates	13 Dec 2027	17 Dec 2027	**€2,650.–**
Online Confirmed dates	13 Dec 2027	17 Dec 2027	**€1,250.–**



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Plan your next step

Dates, availability, exact venue, taxes, inclusions and payment terms require written confirmation. This brochure is not a quotation, registration, booking confirmation or visa invitation. Participants receive a Smart Horizon certificate of attendance; programmes are not externally accredited and do not award a professional qualification or designation. Confirm arrangements before booking travel.

For group or in-house training, contact our learning team to discuss your objectives and delivery arrangements.

Contact Smart Horizon

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